

Express Mail No. EV 809 054 997 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Christopher Trotta  
 Serial No.: 10/551,301  
 Filed: September 27, 2005  
 For: METHODS FOR IDENTIFYING  
 COMPOUNDS THAT TARGET tRNA  
 SPLICING ENDONUCLEASE AND  
 USES OF SAID COMPOUNDS AS  
 ANTI-PROLIFERATIVE AGENTS

Confirmation No.: 4617  
 Art Unit: To be assigned  
 Examiner: To be assigned  
 Attorney Docket No: 10589-013-999

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
 MISSING UNDER 35 U.S.C. 371 IN THE  
 UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Mail Stop PCT  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) ("Notification") mailed May 12, 2006 in connection with the above-identified application, Applicant submits herewith the following: (1) a return copy of the Notification; (2) a stamped return receipt postcard evidencing receipt by the United States Patent and Trademark Office ("USPTO") of the executed Declaration, and a Transmittal of Declaration; and (3) courtesy copies of the Declaration for Non-Provisional Patent Application executed by Christopher R. Trotta on December 14, 2005, and the Transmittal of Declaration filed on March 8, 2006.

Applicant respectfully submits that an executed Declaration, in compliance with 37 CFR 1.497(a) and (b), was submitted to the USPTO on March 8, 2006. This submission is evidenced by the attached copy of the stamped return receipt postcard.

As the executed Declaration was the only item required to complete the requirements for acceptance under 35 U.S.C. 371, Applicant respectfully submits that the date of completion of all 35 U.S.C. 371 requirements is March 8, 2006. See MPEP § 1893.03(b).

It is estimated that no fee is required. However, if any fee is required, please charge any such required fee to Jones Day Deposit Account No. 50-3013.

Entry of the foregoing remarks into the record is respectfully requested.

Respectfully submitted,

Date: July 12, 2006

Laura A. Coruzzi 30,742  
Laura A. Coruzzi

Reg. No.

**JONES DAY**  
222 East 41st Street  
New York, New York 10017  
(212) 326-3939

By: Jennifer J. Chheda  
Reg. No. 46,617



7-14-06

Page 1 of 2

PCT

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/551,301 ✓	Christopher Trotta	10589-013-999

INTERNATIONAL APPLICATION NO.

PCT/US04/09572

I.A. FILING DATE	PRIORITY DATE
03/26/2004	03/27/2003

20583  
JONES DAY  
222 EAST 41ST ST  
NEW YORK, NY 10017

CONFIRMATION NO. 4617

371 FORMALITIES LETTER



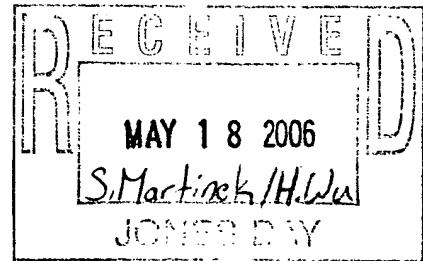
\*OC000000018765889\*

Date Mailed: 05/12/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 09/27/2005
- Copy of the International Search Report filed on 09/27/2005
- Copy of IPE Report filed on 09/27/2005
- Preliminary Amendments filed on 09/27/2005
- Oath or Declaration filed on 09/27/2005
- Small Entity Statement filed on 09/27/2005
- Request for Immediate Examination filed on 09/27/2005
- U.S. Basic National Fees filed on 09/27/2005
- Priority Documents filed on 09/27/2005
- Specification filed on 09/27/2005
- Claims filed on 09/27/2005
- Abstracts filed on 09/27/2005
- Drawings filed on 09/27/2005



Oath/Declaration and  
Fee Due 7/12/06 (S)

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,**

**WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

LAMONT M HUNTER

---

Telephone: (703) 308-9140 EXT 201

**PART 1 - ATTORNEY/APPLICANT COPY**

---

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/551,301	PCT/US04/09572	10589-013-999

FORM PCT/DO/EO/905 (371 Formalities Notice)



Patent & Trademark Office  
Mail No.: EV 531 713 075 US

Date Mailed: March 8, 2006

Application No.: 10/551,301 Filed: September 27, 2005

Inventor: Christopher Trotta

For: METHODS FOR IDENTIFYING COMPOUNDS THAT TARGET TRNA SPLICING  
ENDONUCLEASE AND USES OF SAID COMPOUNDS AS ANTI-PROLIFERATIVE AGENTS

The stamp of the Patent Office hereon may be taken as an acknowledgment of the  
date stamped of the following:

- (1) Transmittal of Declaration; and
- (2) Executed Declaration.

**IAP7 Rec'd PCT PTO 08 MAR 2006**

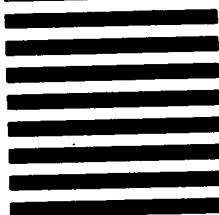
File No. : 10589-013-999 Sender: Coruzzi/ Chheda/ Martinek



NO POSTAGE  
NECESSARY  
IF MAILED  
IN THE  
UNITED STATES

**BUSINESS REPLY MAIL**  
FIRST-CLASS MAIL PERMIT NO. 1825 NEW YORK NY

POSTAGE WILL BE PAID BY ADDRESSEE



JONES DAY  
222 E 41ST ST FL 4  
NEW YORK NY 10164-3474





Express Mail No.: EV 531 713 075 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Christopher Trotta

Confirmation No.: To be assigned

Application No.: 10/551,301; National Stage of  
PCT/US2004/009572  
Filed March 26, 2004

Art Unit: To be assigned

Filing Date: September 27, 2005

Examiner: To be assigned

For: **METHODS FOR IDENTIFYING COMPOUNDS  
THAT TARGET tRNA SPLICING  
ENDONUCLEASE AND USES OF SAID  
COMPOUNDS AS ANTI-PROLIFERATIVE  
AGENTS**

Attorney Docket No.: 10589-013-999

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.63(a), Applicant submits herewith a Declaration for Non-Provisional Patent Application, executed by Christopher R. Trotta on December 14, 2005. No fee is believed due; however, should a fee be required, please charge the required fee to Jones Day Deposit Account No. 50-3013. A copy of this document is enclosed for accounting purposes.

Applicant respectfully requests that the Declaration be made of record in the file of the above-identified application.

Respectfully submitted,

*by: Sebastian Hartnick*  
*Reg. No. 52,413*

Date: March 8, 2006

*Laura A. Coruzzi*

30,742

Reg. No.

Laura A. Coruzzi  
Jones Day  
222 East 41<sup>st</sup> Street  
New York, NY 10017  
Attorneys for Applicant

Enclosure